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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

Filing Date

First Named Inventor

Art Unit

Examiner Name

Attorney Docket Number

### ENCLOSURES (Check all that apply)

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|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form                             | <input type="checkbox"/> Drawing(s)                                       | <input type="checkbox"/> After Allowance Communication to TC                            |
| <input type="checkbox"/> Fee Attached                                     | <input type="checkbox"/> Licensing-related Papers                         | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences     |
| <input type="checkbox"/> Amendment/Reply                                  | <input type="checkbox"/> Petition   | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final                                      | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)                        | <input type="checkbox"/> Power of Attorney, Revocation                    | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request                        | <input type="checkbox"/> Change of Correspondence Address                 | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):         |
| <input type="checkbox"/> Express Abandonment Request                      | <input type="checkbox"/> Terminal Disclaimer                              | Letter regarding non-material mistakes on published U.S. application cover sheet        |
| <input checked="" type="checkbox"/> Information Disclosure Statement      | <input type="checkbox"/> Request for Refund                               |   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)           | <input type="checkbox"/> CD, Number of CD(s) _____                        |   |
| <input type="checkbox"/> Reply to Missing Parts/Incomplete Application    | <input type="checkbox"/> Landscape Table on CD                            |   |
| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 |   |   |

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|              |                   |          |        |
|--------------|-------------------|----------|--------|
| Firm Name    | Beresin & Parr    |          |        |
| Signature    |                   |          |        |
| Printed name | Isis E. Caulder   |          |        |
| Date         | December 18, 2006 | Reg. No. | 47,275 |

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

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| Signature             |  |      |  |
| Typed or printed name |  | Date |  |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# Bereskin & Parr

INTELLECTUAL PROPERTY LAW

December 18, 2006



Isis E. Caulder  
B.A.Sc., (Eng. Sci.), M.A.Sc. (Elect. Eng.), J.D.  
416 957 1680 icaulder@bereskinparr.com

Your Reference: 10/526,776  
Our Reference: 14051-004

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA  
22313-1450 U.S.A.

Dear Sir:

Re: United States Patent Application No. 10/526,776  
For: HARMONIC DRIVE MOTOR  
Filed: March 8, 2005  
Applicant: Ernest Davison

In accordance with 37 CFR 1.97 and 1.98 and in recognition of the duty to disclosure set forth in 37 CFR 1.56, applicant hereby submits a Supplemental Information Disclosure Statement including a listing of patents of which the applicant is aware. In accordance with the requirements of 1.97(b)(2) and (3), this Information Disclosure Statement is filed within three months of the filing date of the application or before the mailing date of a first Office Action on the merits, whichever event occurs last.

(A) List of References

A list of patents and other publications of which the applicant is aware is set out in the PTO Form 1449 that is attached hereto.

(B) Copies of References

The applicant has attached hereto a copy of the front page of each of the U.S. patent references referred to in PTO Form 1449. Full copies of the non-U.S. patent references referred to in PTO Form 1449 are enclosed. All of the patents and publications submitted herewith are either in the English language or are being provided along with English language Abstracts. Accordingly a concise explanation of the relevance of the documents is not required.

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TORONTO MISSISSAUGA WATERLOO MONTRÉAL

The Applicant reserves the right to contest the applicability of any of these documents as prior art against the subject application.

Respectfully submitted,

**ERNEST DAVISON**

A handwritten signature in black ink, consisting of a large, stylized 'C' followed by a series of loops and a final flourish.

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Isis E. Caulder – Reg'n No. 47,275  
Bereskin & Parr – Customer No. 001059

Encl.  
/mr



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Sheet

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**Complete if Known**

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| Attorney Docket Number | 14051-004 |
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| Substitute for form 1449/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br><i>(Use as many sheets as necessary)</i> |  | <b>Complete if Known</b> |                        |           |
|  |  | Application Number       | 10/526,776             |           |
|  |  | Filing Date              | March 8, 2005          |           |
|  |  | First Named Inventor     | Ernest Davison         |           |
|  |  | Art Unit                 | n/a                    |           |
|  |  | Examiner Name            | n/a                    |           |
| Sheet  |  | of                       | Attorney Docket Number | 14051-004 |

| NON PATENT LITERATURE DOCUMENTS |                       |   |                |
|---------------------------------|-----------------------|---|----------------|
| Examiner Initials*              | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|                                 |                       | TORREY, DAVID A., Switched Reluctance Generators and Their Control, IEEE Transactions on Industrial Electronics, vol. 49, No. 1, February 2002  |                |
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|--------------------|--|-----------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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